

Cognitive Spare Capacity: Evaluation data and its association with comprehension of dynamic conversations

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1 **Abstract**

2 It is well established that communication involves the working memory system, which
3 becomes increasingly engaged in understanding speech as the input signal degrades. The
4 more resources allocated to recovering a degraded input signal, the fewer resources, referred
5 to as cognitive spare capacity, remain for higher-level processing of speech. Using simulated
6 natural listening environments, the aims of this paper were to (1) evaluate an English version
7 of a recently introduced auditory test to measure cognitive spare capacity that targets the
8 updating process of the executive function, (2) investigate if the test predicts speech
9 comprehension better than the reading span test commonly used to measure working memory
10 capacity, and (3) determine if the test is sensitive to increasing the number of attended
11 locations during listening. In experiment I, the cognitive spare capacity test was presented
12 using a male and a female talker, in quiet and in spatially separated babble- and cafeteria-
13 noises, in an audio-only and in an audio-visual mode. Data collected on 21 listeners with
14 normal and impaired hearing confirmed that the English version of the cognitive spare
15 capacity test is sensitive to population group, noise condition, and clarity of speech, but not
16 presentation modality. In experiment II, performance by 27 normal-hearing listeners on a
17 novel speech comprehension test presented in noise was significantly associated with
18 working memory capacity, but not with cognitive spare capacity. Moreover, this group
19 showed no significant difference in cognitive spare capacity as the number of talker locations
20 in the test increased. There was no consistent association between the cognitive spare
21 capacity test and the reading span test. It is recommended that future studies investigate the
22 psychometric properties of the cognitive spare capacity test, and examine its sensitivity to the
23 complexity of the listening environment in participants with both normal and impaired
24 hearing.

25 **Keywords:** Cognitive spare capacity, working memory capacity, updating, speech
26 comprehension, dynamic speech test

27 1. Introduction

28 Participation in social activities has been found to be important for a person's psychological
29 and general well-being (Pinquart and Sörensen, 2000), and verbal communication is often
30 the key to social interactions. Effective communication requires an interaction between
31 implicit bottom-up and explicit top-down processes, and thus relies on both healthy auditory
32 and cognitive systems (Wingfield et al., 2005; Pichora-Fuller and Singh, 2006; Schneider et
33 al., 2010). Higher-level processing of speech, such as comprehension, inference making, gist
34 formulation, and response preparation, involves in particular working memory processing
35 (Daneman and Carpenter, 1980; Schneider et al., 2007; Wingfield and Tun, 2007). Working
36 memory is defined as a limited capacity system with storage and processing capabilities that
37 enables the individual to temporarily hold and manipulate information in active use as is
38 necessary for comprehending speech (Just and Carpenter, 1992; Baddeley, 1992). In the
39 widely accepted multi-component model of working memory, first introduced by Baddeley
40 and Hitch in 1974, the central executive is considered the control system for manipulation of
41 input to either the phonological loop, visuospatial sketchpad, or episodic buffer (Repovš and
42 Baddeley, 2006), and is considered the component that most influences working memory
43 processing efficiency (McCabe et al., 2010). According to Miyake et al. (2000), the
44 executive function is associated with three organisational processes; inhibition, shifting, and
45 updating. When related to speech comprehension, these three processes refer to the ability to
46 ignore irrelevant information, select the conversation to follow, and process the most recent
47 sounds in order to compare items with stored knowledge to infer meaning, respectively.

48 Several speech perception models have been proposed to more specifically explain the
49 mechanism of speech comprehension from sensory information, such as the cohort (Marslen-
50 Wilson and Tyler, 1980; Marslen-Wilson, 1990), TRACE (McClelland and Elman, 1986;
51 McClelland, 1991), and neighbourhood activation (Luce and Pisoni, 1998) models. A more
52 recent addition is the ease of language understanding (ELU) model (Rönnberg et al., 2008;
53 Rönnberg et al., 2013) that differs from the earlier models by its assumption that explicit
54 working memory capacity is called for whenever there is a mismatch between the input signal
55 and the phonological representations in long-term memory (Rönnberg et al., 2013). In brief,
56 the ELU model stipulates the interaction between an implicit processing path and a slower
57 explicit processing loop that run in parallel. While the multimodal input signal matches a
58 sufficient number of phonological attributes in the mental lexicon, the lexical access proceeds
59 rapidly and automatically along the implicit processing path with little engagement of the
60 explicit processing loop. The explicit processing loop, which uses both phonological and
61 semantic long-term memory information to attempt to understand the gist of the conversation,
62 is, however, increasingly accessed when there is a mismatch between input signal and the
63 phonological representations in long-term memory.

64 According to the ELU model, explicit working memory processing, including the executive
65 processes, is increasingly relied on to infer meaning as the input signal becomes less clear
66 and the listening situation more challenging. This notion is supported by several studies,
67 which have shown that people with higher working memory capacity are less susceptible to
68 distortion introduced by such factors as hearing impairment, increased complexity in the

69 environment, or the introduction of unfamiliar signal processing in hearing devices; i.e. are
70 better at understanding speech under such conditions (Lunner, 2003; Lyxell, Andersson,
71 Borg, and Ohlsson, 2003; Rudner et al., 2011a; Arehart et al., 2013; Meister et al., 2013). In
72 these studies, a dual-task test, known as the reading span test (RST) (Daneman and
73 Carpenter, 1980; Rönnerberg et al., 1989), was used to measure the combined storage and
74 processing capacity of working memory. The RST presents participants with a written set of
75 unrelated and syntactically plausible sentences. After each sentence participants have to
76 indicate if the sentence was sensible (e.g. the boy kicked the ball) or not (e.g. the train sang a
77 song), and after a span of sentences they have to recall either the first or last word in the
78 sentences (ignoring the article). Participants are presented with an increasingly longer span
79 of sentences from three to six. Performance on this paradigm has been found to be well
80 associated with speech comprehension (Daneman and Merikle, 1996; Akeroyd, 2008), and
81 thus seems to be a solid predictor of inter-individual differences in speech processing
82 abilities.

83 Recently, there has been an increased interest in the audiological community to prove that
84 intervention with hearing devices, or specific device features, reduces cognitive resources
85 allocated to listening; i.e. frees up resources for other cognitive processes such as higher-level
86 speech processes (Sarampalis et al., 2009; Ng et al., 2013). This calls for an auditory test that
87 taps into the cognitive functions engaged when communicating, such as working memory and
88 the executive processes, and that is sensitive to different types of distortion and so can
89 measure intra-individual differences in cognitive listening effort as the quality of the input
90 changes. As one example of such a test, the concept of the RST was applied to the Revised
91 Speech in Noise Test to specifically investigate working memory capacity for listening to
92 speech in noise (Pichora-Fuller et al., 1995). Using a mixture of high- and low-context
93 sentences, participants were presented with a span of sentences and asked at the end of each
94 sentence to indicate whether the final word was predictable from the sentence context or not,
95 and at the end of the span to recall the final words. The authors found that age and increasing
96 background noise disturbed the encoding of heard words into working memory, reducing the
97 number of words that could be recalled.

98 New paradigms have also been introduced that aim to measure the cognitive spare capacity
99 (CSC), defined as the residual capacity available for processing heard information after
100 successful listening has taken place (Rudner et al., 2011b). An example is the cognitive spare
101 capacity test (CSCT), introduced by Mishra et al. (2013a), that taps into an individual's
102 working memory storage capacity, multimodal binding capacity (when visual cues are
103 present), and executive skills after resources have been used for processing the heard stimuli.
104 In this test participants are presented with lists of two-digit numbers, spoken randomly by a
105 male or female talker, and are either asked to recall the highest (or lowest) numbers spoken
106 by each talker, or to recall the odd (or even) numbers spoken by a particular talker. Thus the
107 test measures the ability to update or inhibit information, respectively, and then recall the
108 information, after resources have been spent on recognising what has been said. The authors
109 have argued that CSC as measured with the CSCT is different from general working memory
110 capacity as measured with the RST. This is a reasonable assumption when considering the

111 overall mental processes involved in the two tests. For example, the RST requires intake of
112 written sentences, analysis of semantic content, formulation and delivery of a response, and
113 storage and recall of words, whereas the CSCT requires attention to and processing of heard
114 stimuli (potentially degraded by some form of distortion), a decision to be made about what
115 to store, and storage, deletion, and recall of numbers. While there is some overlap in
116 processes, there are also substantial differences, and therefore one would not expect a perfect
117 correlation between performances on the two tests. Further, while reading the sentences in
118 the RST for most people would be an implicit process, listening to the stimuli in the CSCT
119 may require explicit processing as stipulated by the ELU model. That is, the CSC would be
120 expected to be increasingly reduced under increasingly demanding listening conditions where
121 explicit resources become involved in the processes of recognising the input signal, leaving
122 fewer resources for completing the remaining operations required by the CSCT. Therefore, it
123 is likely that the residual capacity measured with CSCT under adverse test conditions is
124 something less than the full working memory capacity measured with the RST. The authors
125 of the CSCT have further suggested that during the updating or inhibition process of CSCT, if
126 an executive resource that is required for performing these tasks has been depleted in the
127 process of recognising the numbers, the function of this particular resource may be at least
128 partially compensated for by another cognitive resource that is separate from working
129 memory. Consequently, a measure of working memory capacity may not adequately assess
130 CSC. The CSCT has been evaluated with normal-hearing and hearing-impaired listeners
131 under different conditions (Mishra et al., 2013a; 2013b; Mishra et al., 2014). Overall, the
132 results, which are presented in more detail in the next section, suggested that the test has
133 merit as a measure of cognitive listening effort. In addition, there was no overall association
134 between CSCT and RST scores, suggesting that CSCT is not merely a measure of working
135 memory capacity. In this paper we present an English version of the CSCT.

136 A hypothesis that a measure of CSC would better predict communicative performance than a
137 measure of working memory capacity as captured with the RST (Mishra et al., 2013a) has not
138 been investigated. Thus, we investigate in this paper if the CSCT or RST better predicts
139 speech comprehension in noise. We recently developed and introduced a speech
140 comprehension test that is designed to more closely resemble real world communication
141 (Best et al., in review). This paradigm has been extended to include monologues and
142 dialogues between two and three spatially separated talkers to study dynamic aspects of real
143 communication. As the CSCT is designed to be administered under conditions similar to
144 those in which speech performance is measured, it seems to provide an excellent tool for
145 objectively investigating the cognitive effect of changing complexity of the listening
146 conditions within individuals. We, therefore, further use the CSCT to investigate if dynamic
147 changes in voice and location like those in our new speech test affect listening effort, as
148 reflected in cognitive spare capacity.

149 In summary, this paper presents two experiments to address three aims. The aim of the first
150 experiment is to present and evaluate an English version of the CSCT. The aims of the
151 second experiment are to examine if CSC is a better predictor than working memory capacity
152 of speech comprehension in noise, and to examine if increasing the number of talkers in the

153 listening situation reduces CSC. In both experiments, listening conditions were simulated to
154 represent, as best as possible, realistic listening environments. Treatment of test participants
155 was approved by the Australian Hearing Ethics Committee and conformed in all respects to
156 the Australian government's National Statement on Ethical Conduct in Human Research.

157 **2. Experiment I**

158 The aim of experiment I was to evaluate an English version of the CSCT. The original
159 Swedish test by Mishra et al., (2013a) was designed to measure both inhibition and updating.
160 Different lists of thirteen two-digit numbers spoken randomly by a male and a female talker
161 were made up for each task. For either task the listener was asked to remember at least two
162 items. In the inhibition task, listeners were asked to remember the odd or even number
163 spoken by one of the talkers, meaning they had to inhibit numbers spoken by the non-target
164 talker. In the updating task, the task was to remember the highest or lowest number spoken
165 by each talker, meaning that the listener had to update information stored in working memory
166 when a new number met the criterion. Each list was designed to present three or four
167 inhibition or updating events. A high memory load condition was created in which the
168 listeners were further asked to remember the first number of the list, although this number
169 was not taken into account in the final score.

170 In three studies, the Swedish version of the CSCT was evaluated by studying sensitivity to
171 memory load (low vs high), noise (quiet vs stationary speech-weighted noise vs modulated
172 speech-like noise), and presentation modality (audio vs audio-visual) in young normal-
173 hearing and older hearing-impaired listeners (Mishra et al., 2013a;b;2014). The older
174 hearing-impaired listeners had stimuli amplified to compensate for their hearing loss, and for
175 the noise conditions the signal-to-noise ratios (SNR) were individually selected to
176 approximate 90% recognition in the stationary noise. Overall, the studies showed that the
177 older hearing-impaired listeners generally had reduced CSC relative to the younger normal-
178 hearing listeners. For both populations, increasing the memory load and listening in
179 stationary noise relative to quiet reduced CSC. Relative to quiet, the highly modulated
180 speech-like noise reduced CSC in the older, but not in the younger cohort. The older hearing-
181 impaired listeners also showed reduced CSC when listening in audio-only mode relative to
182 audio-visual mode in noise and in quiet. Relative to the audio-visual mode, the younger
183 normal-hearing listeners showed reduced CSC in audio-only mode when listening in noise,
184 but increased CSC when listening in quiet. The authors argued that in all cases where CSC
185 was relatively reduced, more pressures were put on the available cognitive resources needed
186 for the act of listening, and that in the more demanding listening conditions visual cues
187 counteracted for the disruptive effect of noise and/or poorer hearing (Mishra et al.,
188 2013a;b;2014).

189 In the studies conducted by Mishra et al. (2013a;2013b;2014), task never interacted with any
190 of the other factors, suggesting that the inhibition and updating measures were equally
191 sensitive to different changes in the test condition. This is presumably because inhibition can
192 be considered a part of the updating task, as items needed to be suppressed from working
193 memory when a new item that fitted the criterion was stored. Consequently, to simplify the

194 test design only the updating task was used in this study. The updating task was selected
195 because the inhibition task in the Mishra studies generally produced higher scores than the
196 updating task, with scores being close to ceiling for normal-hearing listeners. The decision to
197 exclude the inhibition task meant that the need to switch between talker gender in the
198 stimulus material was not strictly needed. There is a general belief that hearing-impaired
199 people have more difficulty understanding female voices due to their more high-pitched
200 characteristic (e.g. Helfer, 1995; Stelmachowicz et al., 2001), a factor that could have
201 influenced the reduced CSC measured in the older hearing-impaired listeners by Mishra et al.
202 (2014). To explore this further, we decided to present the updating task spoken by single
203 talkers (one male or one female within each list), to test the effects of individual differences
204 in talker characteristics (potentially including gender effects) on CSC. Removing the gender
205 effect within lists meant that the listener did not have to attend to the talker gender during
206 testing. On the other hand, the number of updating events in each list increased to four or
207 five, with three lists introducing six updating events.

208 Like the Swedish version, the English version was further evaluated for sensitivity to
209 population group (younger normal-hearing vs older hearing-impaired listeners), noise (quiet
210 vs babble-noise vs cafeteria noise), and presentation modality (audio only vs audio-visual).
211 While the Swedish test was evaluated under headphones with target and noise presented co-
212 located, and in artificial noises, we chose to evaluate the CSCT under more natural listening
213 conditions by presenting target and noise spatially separated in the free field, and using more
214 realistic background noises. Introducing spatial separation in our presentation was expected
215 to ease segregation (Arbogast et al., 2005; Helfer and Freyman, 2004), and hence the load on
216 the executive function, for both normal-hearing and hearing-impaired listeners. However,
217 this advantage was anticipated to be counteracted for during testing by choosing individual
218 SNRs corresponding to the same speech recognition target used by Mishra and colleagues.
219 Unlike the noises used by Mishra and colleagues, our babble- and cafeteria-noises were made
220 up from intelligible discourses and conversations, respectively. As a result, our babble-noise
221 was slightly more modulated than Mishra's stationary noise, whereas our cafeteria-noise was
222 slightly less modulated than Mishra's speech-like noise. Finally, as in the Mishra studies,
223 performance on the CSCT was related to measures of working memory capacity as measured
224 with the RST and an independent test of updating. Overall, we expected to reproduce the
225 findings by Mishra and colleagues with respect to the effect of population group, noise, and
226 presentation modality, and we predicted that only the older hearing-impaired listeners would
227 be affected by individual talker differences.

228 **2.1. Methodology**

229 **2.1.1. Participants**

230 Participants included 11 females and 10 males recruited among colleagues and friends of the
231 authors. Among the 21 participants, 12 could be considered younger normal-hearing
232 listeners. Their average age was 31.6 years (ranging from 22 to 49 years), and their average
233 bilateral four-frequency average hearing loss (4FA HL), as measured across 0.5, 1, 2, and 4
234 kHz, was 0.4 dB HL (standard error (SE) = 1.0 dB). The average age of the remaining nine

235 participants was 72.3 years (ranging from 67 to 77 years), and they presented an average 4FA
236 HL of 29.9 dB HL (SE = 3.0 dB). This group is referred to as older hearing-impaired
237 listeners, although it should be noted that the hearing losses were generally very mild with the
238 greatest 4FA HL being 46.3 dB HL. Participants were paid a small gratuity for their
239 inconvenience.

240 **2.1.2. The stimuli**

241 The stimulus material to measure CSC for updating was adapted from Mishra et al. (2013a).
242 Audio-visual recordings of two-digit numbers were obtained using one male and one female
243 native English speaker with Australian accents narrating the numbers 11 to 99 sequentially.
244 Recordings were performed in an anechoic chamber, with the talkers wearing dark clothes
245 and seated in front of a grey screen. Video recordings, showing head and shoulders of the
246 talkers, were obtained using a Legria HFG10 Canon video-camera set at 1920 x 1080
247 resolution. Three high-powered lights were positioned to the sides and slightly in front of the
248 talker, facing away from them and reflecting off large white surfaces, to smooth lighting of
249 the face. Simultaneous audio recordings were obtained using a Sennheiser ME64
250 microphone, placed at close proximity to the mouth (about 35 cm), connected to a PC via a
251 MobilePre USB M-Audio pre-amplifier. During recordings, the talkers were instructed to
252 look straight ahead with a neutral expression, say the numbers without using inflection or
253 diphthongs and close their lips between utterances. To ensure a steady pace, a soft beeping
254 noise was used as a trigger every 4 seconds. Recording of the sequence of numbers was
255 repeated twice for each talker.

256 The same set of 24 lists designed for the updating task was created for both the female and
257 male talkers. To create the lists, the externally recorded audio was firstly synchronised to the
258 video by aligning the externally acquired audio signal with the audio signal recorded with the
259 video camera using a cross-correlation method in MATLAB. This technique can align two
260 signals to an accuracy within 0.02 msec. Subsequently, the audio signal of each number was
261 normalised in level to the same nominal value after removing gaps in the speech. A
262 MATLAB program was then used to cut the long clips into short clips that were joined
263 together according to the specified list sequences. For each number, the better of the two
264 takes was used. The joined audio/video segments were cross-faded to ensure a smooth
265 transition in both audio and video. In the final lists, the spoken numbers occurred roughly
266 every 2.5 seconds. Finally, the audio was equalised per list to match the one-third octave
267 levels of the International Long-term Average Speech Spectrum (ILTASS) by Byrne et al.,
268 (1994).

269 Two kinds of background noise were used. One was an eight-talker babble noise from the
270 National Acoustic Laboratories' CDs of Speech and Noise for Hearing Aid Evaluation
271 (Keidser et al, 2002). This noise had low amplitude modulation and was filtered to match the
272 ILTASS. The other noise was a simulated reverberant cafeteria scene (for a detailed
273 description of the scene, see Best et al., 2015). In brief, the noise was simulated such that the
274 listener is positioned amongst the seating arrangements of a cafeteria with the target talker
275 having a virtual position in the room in front of the listener. The background consists of

276 seven conversations between pairs of talkers seated at the surrounding tables and facing each
277 other, resulting in 14 masker talkers distributed around the listener at different horizontal
278 directions, distances and facing angles. Room impulse responses generated in ODEON
279 (Rindel, 2000) were converted to loudspeaker signals using a loudspeaker-based auralisation
280 toolbox (Favrot and Buchholz, 2010). This noise was more amplitude modulated than the
281 babble-noise, but not as modulated as single-talker speech. To maintain its natural acoustic
282 characteristics, it was not filtered to match the target material. Consequently, when equalised
283 to the same Leq, the cafeteria noise exposed the target at frequencies above 1.5 kHz, see
284 Figure 1.

285 **2.1.3. Setup**

286 Speech and noise were presented spatially separated in the free field using a 16-loudspeaker
287 array in the horizontal plane of the listener's ears. The loudspeakers, Genelec 8020C active
288 (self-amplified), were organised in a circle with a radius of 1.2 m and were driven by two
289 ADI-8 DS digital-to-analogue converters and an RME Fireface UFX interface, connected to a
290 desktop PC. Using custom-made software, each loudspeaker was equalised (from 100 Hz –
291 16000 Hz) and level-calibrated at the centre of the array. The audio target was always
292 presented from 0° azimuth at a level corresponding to 62 dB SPL at the position of the
293 participant's head. The video signal of the CSCT was shown on a 21.5 inch PC monitor
294 mounted on an independent stand and appearing above the frontal loudspeaker. As the video
295 was presented at a resolution of 1440 x 1080 to a monitor supporting a resolution of 1920 x
296 1080, a black bar occurred on either side of the video. Four uncorrelated samples of the
297 babble-noise were presented from $\pm 45^\circ$ azimuth and $\pm 135^\circ$ azimuth, while the reverberant
298 cafeteria-noise was played back from all 16 loudspeakers. Custom-made menu-driven
299 software was used to mix and present target and noise at specified SNR values in a real-time
300 fashion. While the long-term levels of both target and noise were controlled, the short-term
301 SNRs were not to maintain a natural interaction between target and noise. That is, the
302 audibility of individual numbers likely varied within and between participants. Across all
303 presentations, the effect of this variation is presumed to be levelled out. For the hearing-
304 impaired participants, amplification was applied to all stimuli following the NAL-RP
305 prescription (Byrne et al., 1990), with gain tapered to 0 dB at frequencies above 6 kHz. The
306 prescribed filters were applied in real-time to the combined target and noise stimuli.

307 **2.1.4. Cognitive tests**

308 The English version of the RST was adapted from Hällgren et al. (2001) as an independent
309 test of working memory capacity. Sentences were presented on a screen in three parts and in
310 spans of three to six sentences. Within each span, the inter-sentence interval was 3000 msec.
311 After the end of every sentence; i.e. every third screen, the participants were asked to say
312 'yes' or 'no' to indicate whether that sentence was sensible or not. At the end of each span
313 the participants were asked to recall either the first or last word of the sentences in that span.
314 After a practice trial, 12 spans of sentences were presented, increasing from three series of
315 three sentences to three series of six sentences.

316 The Letter Memory test (Morris and Jones, 1990) was used as an independent test of
317 updating. An electronic version of the test was developed that presents 320 point size
318 consonants on a screen, one by one, for a duration of one second each. Participants were
319 presented with sequences of 5, 7, 9, or 11 consonants, and asked at the end of each sequence
320 to recall the last four consonants. After two practice trials, three trials of each sequence
321 length were presented in randomised order.

322 **2.1.5. Protocol**

323 Each participant attended one appointment of about two hours. First, the purpose of the study
324 and the tasks were explained, and a consent form was signed. Otoscopy was performed,
325 followed by threshold measurements. The participants then completed the RST and the
326 Letter Memory test. Both tests were scored manually, with the final scores comprising the
327 percentage of correctly recalled words and letters, respectively, irrespective of order. This
328 part of the appointment took place in a regular sound-treated test booth.

329 The remaining part of the appointment took place in a variable acoustic room, adjusted to a
330 reverberation time of $T_{60} = 0.3$ sec. Participants were seated in the centre of the loudspeaker
331 array. First they completed an adaptive speech-in-noise test to determine the individual SNR
332 for testing CSC in noise. Using the automated, adaptive procedure described in Keidser et
333 al., (2013), sensible high context sentences (filtered to match the ILTASS) were presented in
334 the eight-talker babble noise described above to obtain the SNR that resulted in 80% speech
335 recognition. During the procedure the target speech was kept constant at 62 dB SPL while
336 the level of noise was varied adaptively, starting at 0 dB SNR, based on the number of
337 correctly recognised morphemes. Based on pilot data obtained on six normal-hearing
338 listeners, the SNR was increased by 1 dB to reach the SNR that would result in
339 approximately 90% speech recognition when listening in babble-noise. This SNR was
340 subsequently used in the CSCT with both the babble and cafeteria noises.

341 Finally, the CSCT was administered in a 2 (talker gender) x 3 (background noise, incl. quiet)
342 x 2 (modality) design using two lists for each test condition. Test conditions were
343 randomised in a balanced order across participants with lists further balanced across test
344 conditions. After each list, participants had to recall either the two highest or the two lowest
345 numbers in the list as instructed before each list. Because participants did not have to
346 distinguish between talker gender while doing the updating task, a high memory load as
347 introduced by Mishra et al. (2013a) was used; i.e. participants also had to remember the first
348 number, as the task was otherwise considered too easy in the quiet condition for the younger
349 normal-hearing listeners. The first number was not counted in the final score. During
350 testing, participants verbalised their responses to the experimenter at the end of each list.
351 Participants were instructed to look at the monitor during the audio-visual presentations, and
352 this was reinforced by the experimenter who could observe the participants during testing. In
353 the audio-only mode the video was switched off, meaning that the audio signal was the same
354 in the two modalities.

355 **2.2. Results and discussion**

356 **2.2.1. Reading span and updating tests**

357 Table 1 lists the average performance data obtained by the two population groups on the
358 reading span and updating tests. On both measures, the younger normal-hearing listeners
359 outperformed the older hearing-impaired listeners. The differences in performance were
360 significant according to a Mann-Whitney U-test ($p = 0.0005$ for the RST, and $p = 0.03$ for the
361 updating test).

362

363 Table 1: Mean and standard error (SE) values for reading span test (RST) and updating test
364 for each population group.

Parameter	Young normal hearing		Older hearing-impaired	
	Mean	SE	Mean	SE
RST (%)	49.4	3.02	32.0	2.22
Updating (%)	84.5	2.06	76.2	3.07

365

366 **2.2.2. Test signal-to-noise ratios**

367 Individually selected SNRs were obtained for testing CSC in noise. On average, the older
368 hearing-impaired listeners needed higher SNRs (-1.0 dB; SE = 0.6 dB) than the younger
369 normal-hearing listeners (-4.5 dB; SE = 0.4 dB). The difference in mean was significant
370 according to a Mann-Whitney U-test ($p = 0.0001$).

371 **2.2.3. Cognitive spare capacity (CSC)**

372 Figure 2 shows the average CSC score obtained by the younger and older listeners in each
373 test condition. The arcsine transformed CSC scores were used as observations in a repeated
374 measures analysis of variance (ANOVA), using talker gender, noise, and modality as
375 repeated measures and population group as grouping variable. This analysis revealed
376 significant main effects of population group ($F(1,19) = 11.5$; $p = 0.003$), talker gender
377 ($F(1,19) = 11.6$; $p = 0.003$), and noise ($F(2,38) = 6.5$; $p = 0.004$). Specifically, the younger
378 normal-hearing listeners showed more CSC than the older listeners across conditions, while
379 CSC was reduced for the male talker (relative to the female talker) and by the presence of
380 babble-noise (relative to quiet or cafeteria-noise). Modality did not show significance
381 ($F(1,19) = 0.6$; $p = 0.46$), and none of the interactions were significant (p -levels varied from
382 0.08 for the three-way interaction of noise x modality x population group to 0.95 for the four-
383 way interaction). Overall the English CSCT was sensitive to factors that could be expected to
384 influence cognitive listening effort, although it differs from the Swedish CSCT by not
385 showing sensitivity to presentation modality, and no significant interaction between noise,
386 modality, and population group.

387 The English version of the CSCT differed from the Swedish version by having more updating
 388 events as a result of presenting all numbers by a single talker instead of switching between
 389 two talkers. Targets were further presented in the free field instead of under headphones.
 390 Table 2 shows the differences in average scores obtained with the English and Swedish
 391 versions of CSCT for comparable test conditions. As there were no significant interactions
 392 with talker gender, the CSC scores obtained for the English test were averaged across talker
 393 gender, while the CSC scores obtained for the Swedish test were eyeballed off the graphs in
 394 Mishra et al. (2013b and 2014). Our results obtained in the audio-only mode compared well
 395 with the results on the Swedish version of the CSCT, suggesting that the modifications
 396 introduced to the actual test had negligible effects on CSC.

397

398 Table 2: The difference in CSC scores obtained for the English and Swedish samples
 399 (English – Swedish) on comparable test conditions with an updating task presented under
 400 high memory load.

	Audio-only mode	Audio-visual mode
Normal-hearing;		
Quiet	-0.01	0.24
Noise with no or low modulation	0.06	0.13
Noise with high modulation	0.03	-0.21
Hearing-impaired;		
Quiet	0.09	-0.87
Noise with no or low modulation	0.29	0.09
Noise with high modulation	-0.09	0.39

401

402 On the independent visual tests, the older hearing-impaired listeners showed significantly
 403 reduced updating skill and working memory capacity compared to the younger normal-
 404 hearing listeners. These findings are in agreement with MacPherson et al., (2002) who found
 405 that age has a negative association with performance on tests of executive function and
 406 working memory. The older hearing-impaired listeners also showed significantly reduced
 407 CSC compared to the younger normal-hearing listeners, which agrees with Mishra et al.
 408 (2014). The two groups differed in hearing loss as well as age. Hearing loss, even when
 409 aided, would impact on speech understanding because of distortions such as temporal
 410 processing (Fitzgibbons and Gordon-Salant, 1996; Gordon-Salant and Fitzgibbons, 2001).
 411 However, differences in the amount of speech understood (caused by differences in speech
 412 understanding abilities due to hearing loss as well as cognitive ability) were removed by
 413 using individually selected SNRs. Therefore, the finding suggests that ageing effects

414 observed in executive and working memory processing extend to cognitive spare capacity, or
415 mental effort. This agrees with Gosselin and Gagné (2011) who found that older adults
416 generally expended more listening effort than young adults when listening in noise under
417 equated performance conditions.

418 Relative to the female talker, our participants, on average, showed reduced CSC when
419 listening to the male talker. When comparing the two talker materials, the female talker was
420 notably more articulate than the male talker. Thus the significant gender effect likely
421 occurred because clear production of speech, rather than the female voice per se, freed up
422 cognitive resources in the listeners. This is in agreement with observations of Payton et al.
423 (1994) and Ferguson (2004; 2012) who found that both normal-hearing and hearing-impaired
424 listeners performed better on nonsense sentences and vowel identification, respectively, when
425 listening to a speaking style that was deliberately made clear relative to a conversational
426 version. Further research with a range of male and female talkers is needed to fully explore
427 the effect of talker gender on cognitive listening effort in older hearing-impaired listeners.

428 On average, our listeners showed a significant reduction in CSC when listening in the babble-
429 noise relative to listening in quiet, which is in line with findings for a stationary noise by
430 Mishra et al. (2013b; 2014). While the hearing-impaired listeners in Mishra et al. (2014) also
431 showed a reduction in CSC relative to quiet when listening in a highly modulated speech-like
432 background noise, the normal-hearing listeners did not (Mishra et al., 2013b). Mishra and
433 colleagues have suggested that the younger listeners could take advantage of a selective
434 attention mechanism that comes into play when speech is presented against a speech-like
435 noise (Zion Golumbic et al., 2013) to track the target speech dynamically in the brain. In the
436 stationary noise, it was argued, the absence of modulations reduced the ability to track the
437 speech. For the older listeners, their less efficient cognitive functions made it more difficult
438 to separate the target speech from the non-target speech, whether the noise was modulated or
439 not. An alternative way to view this is that speech understanding for the two groups was
440 equated only in the unmodulated noise. As is well known, hearing-impaired listeners are less
441 able to take advantage of gaps in a masker (Festen & Plomp, 1990; Hygge et al., 1992; Peters
442 et al., 1998), so in the modulated noise, the hearing-impaired listeners would have had to
443 apply more cognitive resources than the normal-hearing listeners just to understand the
444 speech. Consequently, the normal-hearing listeners were less likely to have had their
445 cognitive capacity depleted by the modulated noise than was the case for the hearing-
446 impaired listeners. Overall, findings on the two versions of CSCT suggest that both normal-
447 hearing and hearing-impaired listeners expend executive resources on hearing out the target
448 from a noise that has a similar spectrum and thus exerts a uniform masking effect across all
449 speech components. In our study, neither population group showed significantly reduced
450 CSC when listening in cafeteria-noise relative to quiet. The individually selected test SNRs
451 were obtained in babble-noise, and it is possible that because the cafeteria-noise was more
452 speech-like than the babble-noise, at the same SNR, spatial separation would in this case have
453 an effect. This notion is supported by several studies that have demonstrated that when target
454 and maskers are spatially separated, it is relatively easier to extract speech from the less than
455 the more distinguishable masker (Arbogast et al., 2005; Noble and Perrett, 2002). In

456 addition, it is possible that better SNRs at high frequencies available in our cafeteria-noise
457 made speech easier to access (Moore et al., 2010). Combined, these two factors may have
458 made it easier for both population groups to identify and track the target speech, and hence
459 reduce the cognitive resources needed for understanding, especially as our hearing-impaired
460 listeners had very mild hearing loss.

461 The main discrepancy between the Swedish and English version of the CSCT is that the
462 Swedish version was sensitive to presentation mode while the English version was not. With
463 the Swedish version, older adults generally showed more CSC in the audio-visual mode
464 relative to the audio-only mode (Mishra et al., 2014), whereas younger adults showed this
465 pattern in noise but the opposite pattern when listening in quiet (Mishra et al., 2013a; 2013b).
466 The authors argued that under more demanding listening situations, the addition of visual
467 cues counteracted the disruptive effect of noise and/or poorer hearing. This argument is
468 supported by Frtusova et al. (2013) who found that visual cues facilitate working memory in
469 more demanding situations for both younger and older adults, and Fraser et al. (2010) who
470 saw a reduction in listening effort when introducing visual cues in a dual-task paradigm
471 involving listening to speech in noise. For the younger cohort, the authors speculated that
472 while listening in quiet, the auditory processing task was implicit, meaning that the visual
473 input became a low priority stimulus and hence a distractor (Lavie, 2005), such that audio-
474 visual integration required in the audio-visual mode added demand to the executive
475 processing capacity. No effect of modality was observed in this study, which could suggest
476 that our test conditions were not as cognitively demanding as those used by Mishra and
477 colleagues, although the data obtained in the audio-only mode in Table 2 seem to refute this
478 theory. Another possible reason for the lack of a visual effect in our study is poor attention to
479 the video signal (Tiippana et al., 2004). Although the participants were all looking directly at
480 the screen during testing, the room in which testing was conducted presented a lot of
481 distracting visual information, including colourful wall panels, and the array of loudspeakers
482 and other test equipment. Lavie (2005) has demonstrated that even when people have been
483 specifically instructed to focus attention on a visual task, they are easily distracted while the
484 perceptual load in the visual modality is low. Other data on the association between audio-
485 visual integration and executive function are divided (Prabhakran et al., 2000; Allen et al.,
486 2006), hence the visual effect on CSC needs a more systematic investigation.

487 **2.2.3. The association between CSC and other cognitive measures**

488 Regression analyses were performed to investigate the association between the factor-wise
489 CSC scores (i.e. scores averaged across various experimental conditions) obtained on all
490 participants and the other two cognitive measures, when either controlling for 4FA HL or
491 age. Separate regression analyses were performed using each of the reading span and
492 updating measures as independent variable. The results are summarised in Table 3. In all
493 cases, the regression coefficient was positive, sometimes significantly so; suggesting that
494 more CSC was associated with better cognitive function. The results were little affected
495 whether age or hearing loss was used as the co-variate. In agreement with Mishra et al.
496 (2013a;b;2014), the CSCT was more strongly related to the updating test than to the RST.
497 Overall, the more consistent association with the independent updating test and inconsistent

498 association with the RST suggest that the CSCT measures something more similar to the
 499 combination of attributes used in the updating task than those used in the reading span task.
 500 However, for none of the individual CSC scores is the association between CSC and updating
 501 skill significantly greater than the association between CSC and reading span measures. We
 502 further note that moderate, but significant, correlations have been found between measures of
 503 memory updating and complex working memory spans (e.g. Lehto, 1996).

504

505 Table 3: The standardised regression coefficients (β) and their standard error (SE) values
 506 related to the extent to which CSC scores are predicted by performance on the RST or
 507 updating test when controlling for degree of hearing loss (4FA HL) or age. One asterisk
 508 indicates a significance level < 0.05 , and two asterisks a significance level < 0.01 .

Parameter	RST (%)				Updating test (%)			
	4FA HL (dB HL)		Age (year)		4FA HL (dB HL)		Age (year)	
	β	SE of β	β	SE of β	β	SE of β	β	SE of β
CSCT overall	0.50	0.23	0.38	0.23	0.59 **	0.18	0.54 **	0.18
Male	0.59 *	0.25	0.54 *	0.23	0.60 **	0.18	0.60 **	0.19
Female	0.30	0.29	0.15	0.24	0.45 *	0.21	0.38	0.20
Quiet	0.17	0.27	0.13	0.23	0.57 **	0.17	0.51 **	0.17
Cafeteria	0.70 *	0.26	0.52	0.25	0.56 *	0.20	0.55 *	0.21
Babble	0.43	0.27	0.34	0.23	0.41 *	0.20	0.36	0.20
A-only	0.26	0.30	0.11	0.25	0.54 *	0.20	0.48 *	0.20
AV	0.65 *	0.23	0.58 *	0.20	0.55 **	0.17	0.53 *	0.18

509

510

511 3. Experiment II

512 The aims of experiment II were to examine, in normal-hearing listeners, if CSCT or RST
 513 measures would better predict comprehension of dynamic conversations, and if CSC is
 514 reduced when increasing the dynamics of the listening situation. Speech performance was
 515 measured using a new speech comprehension test that delivers monologues and conversations
 516 between two and three spatially separated talkers. Participants listened to the speech and
 517 answered questions about the information while continuing to listen. To parallel the dynamic
 518 speech comprehension test, the CSCT stimuli were presented either all from a single
 519 loudspeaker position, or randomly from two or three loudspeaker positions. Both the CSCT

520 and the dynamic speech comprehension test were implemented under realistic acoustic
521 conditions in a cafeteria background.

522 Considering the mental processes involved in performing the RST (reading words, deriving
523 meaning from the words, forming and delivering a response, storing items, and recalling
524 items), the CSCT (segregating target speech from noise, recognising the words, making
525 decision about what to store, storing items, deleting items, and recalling items), and the
526 speech comprehension test (segregating target speech from noise, recognising the words,
527 deriving meaning from the words, storing items, recalling items and forming and delivering a
528 response,), it would seem that the speech comprehension test shares processes with both the
529 RST and the CSCT, and that only a couple of operations are common to all three tests. Based
530 on a comparison of the mental processes the pairs of tests have in common, it could be
531 expected that speech comprehension performance would be more correlated with
532 performance on the RST if individual differences in the ability to process words to derive
533 meaning and form a response are more important in causing individual differences in speech
534 comprehension than individual differences in identifying which speech stream is the target,
535 segregating it, and recognising the words. With our group of normal-hearing listeners we
536 expected the former to be the case and hence we predicted performances on our
537 comprehension test to be associated more strongly with RST than with CSCT measures. We
538 further expected that increasing the dynamic aspects of speech by changing voice and
539 location of talkers more frequently would add processing demands in working memory, and
540 in the executive function specifically, so that the listeners would require better SNRs to
541 perform as well in the conversations as in the monologues (Best et al., 2008; Kirk et al.,
542 1997), and that between listening conditions, variations in the CSC would be correlated with
543 variations in speech comprehension.

544 **3.1. Methodology**

545 **3.1.1. Participants**

546 The participants were primarily university students and included 16 females and 11 males.
547 All had normal hearing, showing an average 4FA HL of 2.9 dB HL (SE = 0.6 dB). The age
548 of the participants ranged from 18 to 40 years, with an average of 26.2 years. Participants
549 were paid a small gratuity for their inconvenience.

550 **3.1.2. Dynamic speech comprehension test**

551 The dynamic speech comprehension test consists of 2-4 min informative passages on
552 everyday topics that are delivered as monologues or conversations between two or three
553 talkers. The passages are taken from the listening comprehension component of the
554 International English Language Testing System, for which transcripts and associated
555 comprehension questions are publicly available in books of past examination papers
556 (Jakeman and McDowell, 1995). The recorded presentations are spoken by voice-actors who
557 were instructed to read the monologues and play out the conversations in a natural way,
558 including variations in speed, pauses, disfluencies, interjections etc. Each passage is

559 associated with 10 questions that are answered “on the go” (brief written responses) while
560 listening.

561 **3.1.3. Setup**

562 Testing took place in an anechoic chamber fitted with 41 equalised Tannoy V8 loudspeakers
563 distributed in a three-dimensional array of radius 1.8 m. In the array, 16 loudspeakers were
564 equally spaced at 0° elevation, 8 at ±30° elevation, 4 at ±60° elevation, and one loudspeaker
565 was positioned directly above the centre of the array. Stimuli were played back via a PC
566 equipped with an RME MADI soundcard connected to two RME M-32 D/A converters and
567 11 Yamaha XM4180 four-channel amplifiers.

568 Testing was done in a simulated cafeteria scene similar to that used in experiment I. The
569 background noise was simulated using ODEON software (Rindel, 2000) in the same way as
570 described for the cafeteria noise in experiment I, but using different room characteristics, and
571 the entire 41 loudspeaker array. As previously, the background of the cafeteria noise
572 consisted of seven conversations between pairs of talkers seated at tables and facing each
573 other, resulting in 14 masker talkers distributed around the listener at different horizontal
574 directions, distances and facing angles. The listener was situated by a table slightly off centre
575 in the room, facing three talkers positioned 1 metre away at -67.5°, 0°, and +67.5° azimuth.
576 During testing, monologues were presented from either of these three loudspeaker locations.
577 For the two-talker condition, conversations took place between talkers situated at -67.5° and
578 0°, at 0° and +67.5°, or at -67.5° and +67.5° azimuths. The three-talker conversations all
579 involved the talkers at each of the three loudspeaker locations. While speech was presented
580 from each of these loudspeakers, an LED light placed on top of the loudspeaker was
581 illuminated to give the listener a simple visual cue to indicate which source was active, as
582 would be indicated by facial animation and body language in a real conversation.

583 **3.1.4. Protocol**

584 Each participant attended three appointments of about two hours. During the first
585 appointment, the purpose of the study and the tasks were explained, and a consent form was
586 signed. Otoscopy was performed, followed by threshold and reading span measurements.
587 The implementation of the RST was the same as used in experiment I. The dynamic speech
588 comprehension test was completed over the three appointments, and the CSCT was
589 administered at either the second or third appointment.

590 For the dynamic speech comprehension test, the target speech was fixed at 65 dB SPL and all
591 participants were tested in each talker condition at three SNRs (-6, -8, and -10 dB), using five
592 passages (i.e. 50 scoring units) for each SNR. The participant was seated in the anechoic
593 chamber such that the head was in the centre of the loudspeaker array, facing the frontal
594 loudspeaker. Note that participants were allowed to move their head during testing to face
595 the active source. Responses were provided in written form using paper and pencil and
596 scored manually post-testing. The different passages were balanced across test conditions,
597 and talker conditions and SNRs were presented in a randomised order across participants.
598 The source position of the talkers also varied randomly across and within passages.

599 The CSCT was presented in a similar fashion to the dynamic speech test at a -6 dB SNR.
600 Three lists were administered for each talker condition and the combined score obtained. To
601 parallel the one-talker condition, one list was presented from each of the three talker locations
602 (-67.5°, 0°, and +67.5° azimuths). To parallel the two-talker condition, numbers were for one
603 list randomly presented from -67.5° and 0° azimuths, for another list randomly presented
604 from 0° and +67.5° azimuths, and for the final list randomly presented from -67.5° and
605 +67.5° azimuths. To parallel the three-talker condition, numbers for each of the three lists
606 were randomly presented from the three loudspeaker locations. To reduce the chance of
607 reaching ceiling effects, a high memory load was implemented by asking the participants to
608 also recall the first number in each list, although the number was not counted in the final
609 score. Before CSC testing, one list was presented in -6 dB SNR, with numbers coming
610 randomly from two loudspeaker locations, and participants were asked to repeat back the
611 numbers heard. One missed number was allowed; otherwise the SNR was increased to
612 ensure that the participants were able to hear the numbers in the noise. No participants needed
613 the SNR changed. Nine lists from a pool of 12 were randomly selected for each participant
614 and randomly presented across talker condition and locations.

615 **3.2. Results and discussion**

616 **3.2.1. Speech comprehension**

617 For each participant a logistic function was fitted to the three data points measured with the
618 comprehension test for each talker condition, and the SNR for 70% correct answers was
619 extracted (SRT_{70}). For three participants, the data obtained for one talker condition (single-
620 talker or three-talker) were not well behaved as a function of SNR, and thus sensible logistic
621 functions could not be fit. From the remaining 24 participants, the average differences in
622 SRT_{70} between the one- and two-talker, and between the two- and three-talker conditions,
623 were obtained. These differences were applied as appropriate to the two-talker SRT_{70} values
624 measured for the three participants with missing data points to obtain extrapolated
625 replacement values. According to a repeated measures ANOVA the difference in SRT_{70}
626 between talker conditions was significant ($F(2,52) = 3.92$; $p = 0.03$), Figure 3. A Tukey HSD
627 post hoc analysis revealed that the listeners required significantly higher SNRs to reach 70%
628 correct scores on the monologues than on the dialogues. We note that the ranking of
629 conditions in terms of SRTs corresponds to the complexity of the language of the passages, as
630 measured with the Flesch-Kincaid Grade level (Kincaid et al., 1975; 9.7, 3.5 and 6.1 for the
631 one, two and three-talker passages, respectively). This suggests that speech comprehension
632 may be more affected by complexity of the spoken language, in terms of length and number
633 of words used, than by the dynamic variation in talker location.

634 **3.2.2. The sensitivity of CSC to increased dynamic variation**

635 To investigate if CSC was affected by increasing the number of talkers in the listening
636 situation, the combined scores across three CSC lists were obtained for each participant and
637 simulated talker condition. Based on arcsine transformed scores, participants, on average,
638 showed slightly reduced CSC for the simulated two-talker condition relative to the simulated

639 one- and three-talker conditions, Figure 4. According to a repeated measures ANOVA this
640 pattern was not significant ($F(2,52) = 0.27$; $p = 0.76$), suggesting that, at least for younger
641 normal-hearing listeners, increasing the complexity of the listening condition, by increasing
642 the number of target locations, did not reduce CSC. It is worth noting, that the lowest
643 average CSC of 1.1 transformed scores was obtained for the two-talker condition in which
644 the target locations were most separated (by 67.5°).

645 **Predicting inter-participant variation in speech comprehension**

646 Across participants, reading span scores varied from 28 to 70% with a mean of 45.5%. This
647 result is not unlike findings by Zekveld et al. (2011), who reported a mean reading span score
648 of 48.3%, ranging from 30% to 74%, on a slightly younger normal-hearing sample. Table 4
649 lists the correlation coefficients for the associations between reading span scores and
650 transformed CSC scores obtained for each talker condition (first column). Reading span
651 scores were positively and significantly associated with the transformed CSC scores obtained
652 for the simulated two-talker condition ($p = 0.03$), but not for the simulated one- and three-
653 talker conditions ($p = 0.83$ and $p = 0.69$, respectively). The fact that CSC scores are not
654 consistently correlated with reading span measures across all three conditions may suggest
655 again that the two tests do not generally capture the same cognitive constructs, although none
656 of the correlation coefficients were significantly different from each other.

657 To determine whether CSCT or RST best predicted inter-participant variation in speech
658 comprehension, correlation coefficients for the association between reading span scores and
659 performance on the speech comprehension test in each talker condition (first row), and for
660 each talker condition the association between transformed CSC scores and performance on
661 the speech comprehension test were obtained, see Table 4. For all three talker conditions,
662 data suggest that good performance on the dynamic speech comprehension test requires good
663 working memory capacity ($p < 0.01$ for all three talker conditions), but is not significantly
664 associated with cognitive listening effort as measured with the CSCT ($p = 0.82$, $p = 0.15$, and
665 $p = 0.67$ for the one-, two-, and three-talker condition, respectively). As associations between
666 measures were consistent across talker conditions, data for the CSCT and speech
667 comprehension measures were further collapsed across talker conditions to do an overall
668 three-way correlation analysis. As can be seen in Table 4, the association between RST and
669 the collapsed SRT₇₀ is highly significant ($p = 0.002$), while the association between the
670 collapsed CSC and SRT₇₀ is not ($p = 0.30$). The difference between the correlation
671 coefficients obtained for the two associations is, however, not significant ($p = 0.13$), meaning
672 that no strong conclusion can be made about the relative strengths of the associations.
673 Looking at the three-way correlation matrix, where the association between the collapsed
674 CSC scores and RST is also non-significant ($p = 0.31$), it is evident, however, that the
675 strongest similarity is found between the SRT₇₀ and RST measures.

676 Table 4: The correlation coefficients and their 95% confidence intervals (shown in brackets) for associations of interest between reading span
 677 test (RST), cognitive spare capacity test (CSCT), and SRT₇₀ measures. One asterisk indicates a significance level < 0.05, and two asterisks a
 678 significance level < 0.01.

Parameter	RST	SRT ₇₀ (1-talker)	SRT ₇₀ (2-talker)	SRT ₇₀ (3-talker)	SRT ₇₀ (collapsed)
RST		-0.51 ** [-0.15,-0.75]	-0.52 ** [-0.17,-0.76]	-0.57 ** [-0.24,-0.78]	-0.57 ** [-0.24,-0.78]
CSCT (1-talker)	0.04 [0.42,-0.35]	-0.05 [0.34,-0.43]			
CSCT (2-talker)	0.42 * [0.69,0.04]		-0.29 [0.11,-0.61]		
CSCT (3-talker)	-0.08 [0.32,-0.45]			0.09 [0.46,-0.31]	
CSCT (collapsed)	0.21 [0.55,-0.19]				-0.21 [0.19,-0.55]

679 **4. Overall discussion**

680 Two experiments were presented in this paper. In the first experiment we evaluated an
681 English version of the CSCT introduced by Mishra et al., (2013a) that focuses on measuring
682 an individual's CSC for updating processing after processing of auditory stimuli has taken
683 place. In the second experiment we investigated if this measure of CSC or a measure of
684 working memory capacity, using the RST, better predicted variation in speech
685 comprehension, and if CSC was reduced when increasing the number of talkers in the
686 listening situation.

687 In agreement with Mishra et al. (2013a,b; 2014) we found in both experiments indications
688 that the CSCT measures a construct different from the RST. This was expected as the two
689 test paradigms do differ in some of the mental processes that are required to perform the
690 specific tasks of the tests. The evidence was, however, not strong. Specifically, we note that
691 with an administration of two lists per test condition, 74% of variance in CSC scores obtained
692 in experiment I was due to intra-participant measurement error variance, which would have
693 reduced the reported regression coefficients. Further, there is some concern to what extent
694 participants actively engage in updating when the task is to recall the last items in a list of an
695 unknown number of items, as is the case in the independent updating task employed in
696 experiment I, or whether they simply wait until the end of the list before attempting to recall
697 the most recent items (Palladino and Jarrold, 2008). Consequently, the correlational analyses
698 presented in this study and in Mishra et al. (2013b;2014) on the associations between the RST
699 and the CSCT scores and between the independent updating task and the CSCT scores should
700 be interpreted with caution. Overall, it would be desirable in the future to establish the
701 psychometric properties of the CSCT, including determining the ideal number of lists for
702 reliable measures of CSC, and to more systematically explore the relationship between
703 CSCT, RST, and other tests of executive processing and working memory capacity.

704 Evaluated in a more natural listening environment than that used by Mishra et al. (2013a;
705 2013b;2014), we confirmed in experiment I that the CSCT has merit as a concept for
706 measuring the cognitive effort associated with listening to speech that has been degraded by
707 some form of distortion. Specifically, we found that the CSCT was sensitive to population
708 group and a masker with low modulation (relative to listening in quiet), and further to clarity
709 of speech. On the other hand, we could not confirm in experiment I that CSC is affected by a
710 masker with high modulation in hearing-impaired listeners or by presentation modality in
711 either population group. Methodological variations are suggested to account for the
712 differences observed between the English and Swedish version of the CSCT. Specifically,
713 spatial separation of target and masker, and exposure to high-frequency speech energy when
714 listening in the highly modulated cafeteria-noise likely made it easier for both population
715 groups to access and track target speech (Arbogast, 2005; Moore et al., 2010), and hence in
716 line with the ELU model made this test condition less taxing on cognitive effort. A low
717 perceptual load in the visual modality and distracting visual information in the test
718 environment were suggested to combine to have made participants prone to relax their
719 attention to the video signal (Tiippana et al., 2004; Lavie, 2005), to reduce its potential effect
720 on cognitive listening effort. It would be of interest to study these factors more closely in the

721 future. It should also be noted that if our implementations indeed were closer to real-life
722 listening, this study would suggest that cognitive listening effort may not be as easily
723 modulated by the listening condition in real life as demonstrated in some laboratory tests.

724 As predicted on the basis of the mental processes involved in our speech comprehension test,
725 and our participant sample having normal hearing, we found in experiment II that those with
726 poorer working memory capacity required better SNRs to perform at a similar level on the
727 comprehension test than those with greater capacity. The association between speech
728 comprehension and working memory capacity was significant, while the association between
729 speech comprehension and cognitive spare capacity was not, suggesting that individual
730 differences in speech comprehension may be more related to individual abilities to process
731 words to derive meaning and form a response than to the individual abilities to overcome the
732 perceptual demand of the task. This finding ties in well with the established association
733 between span tests, such as the RST that tap into the combined processing and storage
734 capacity of working memory, and speech comprehension (Daneman and Merikle, 1996;
735 Waters and Caplan, 2005), and further lends support to the ELU model. We speculate,
736 however, that we may see an opposite trend in a hearing-impaired population; i.e. find a
737 significant association between speech comprehension and CSC instead. This is because the
738 individual abilities in this population to meet the perceptual demands of the CSCT may
739 outweigh the variation in individual abilities to process written words to derive meaning and
740 form a response.

741 The finding in experiment II that increasing the dynamic variation in voice and location from
742 one to two and three talkers did not systematically affect speech comprehension performance
743 in young normal-hearing participants, when they listened in a reverberant cafeteria-like
744 background, was somewhat surprising. We had expected that the participants would have
745 required slightly better SNRs for comprehending speech when listening to more than one
746 talker (Best et al., 2008; Kirk et al., 1997) as turn-taking becomes less predictable, increasing
747 the challenge of identifying the current talker and monitoring and integrating what each talker
748 said. That is, they needed to expend more cognitive resources when listening to the
749 conversations. However, it is possible that the increased cognitive demand arising from
750 applying attention to location was counteracted by advantages from having a greater number
751 of discourse markers and more informative perspectives from multiple talkers in the multi-
752 talker conversations (Fox Tree, 1999). A significantly higher SRT_{70} measured for
753 monologues than for dialogues may be explained by more and longer words being presented
754 in the monologues than in the two-person conversations. This finding is in line with other
755 studies that have seen sentence complexity impacting on speech comprehension
756 performances (Tun et al., 2010; Uslar et al., 2013). The theory is also supported by findings
757 that longer words reduce memory spans of sequences of words (Mueller et al., 2003); i.e.
758 demand more working memory processing. However, we saw no difference in the strengths
759 of the associations between RST scores and speech comprehension across talker conditions
760 (cf. Table 4).

761 Previous studies have shown that measures of cognitive effort can be more sensitive to subtle
762 changes in the listening situation than measures of speech understanding (e.g. Sarampalis et

763 al., 2009; Ng et al., 2013). Thus, we expected that the CSCT might be sensitive to dynamic
764 variations in target location even where our comprehension task was not. However, we found
765 in experiment II that applying random dynamic variations to the speech targets of the CSCT
766 did not generally lead to reduced CSC in our normal-hearing participants, although it is of
767 interest that the average lowest CSC was observed for the condition when numbers were
768 presented randomly from the two most distant locations. Despite using transformed CSC
769 scores in our analysis, our result may be partly influenced by many listeners reaching ceiling
770 on the CSCT across test conditions (35% of total scores). It is also possible that allowing
771 listeners to naturally move their head to listen to the spatially separated targets reduced
772 differences in CSC, especially when distances between target locations were less extreme.
773 On the other hand, it appeared from spontaneous comments that at least for some participants
774 the shifting location of the target did not interfere with the task of updating the heard input,
775 and thus it is possible that dynamic changes in target location did not actually represent a
776 change in difficulty. It is worth noting that in the CSCT the actual voice did not change with
777 location as it did in the dynamic speech comprehension test.

778 Future studies in our laboratory will further investigate to what extent CSC is sensitive to
779 increasing complexity in the environment, and will also examine the effect of age and hearing
780 loss on associations between CSC and the listening environment.

781 **Figure legends**

782 Figure 1: The long-term spectra of the International Long-term Average Speech Spectrum
783 (Byrne et al., 1994), that speech and babble-noise were filtered to match, and of the cafeteria-
784 noise.

785 Figure 2: The average cognitive spare capacity scores obtained by younger normal-hearing
786 and older hearing-impaired participants when listening to a male talker (left graph) and
787 female talker (right graph) in quiet, babble-noise (Babble), and in cafeteria-noise (Cafe) with
788 audio-only (A) or audio-visual (AV) cues.

789 Figure 3: The mean SRT_{70} for each talker condition. Whiskers show 95% confidence
790 interval.

791 Figure 4: The mean transformed CSC score for each simulated talker condition (max = 1.57).
792 Whiskers show 95% confidence interval.

793 **AVList of abbreviations**

794 4FA HL: Four frequency average hearing loss

795 ANOVA: Analysis of variance

796 CSC: Cognitive spare capacity

797 CSCT: Cognitive spare capacity test

798 ILTASS: International long-term average speech spectrum

799 RST: Reading span test

800 SE: Standard error

801 SNR: Signal-to-noise ratio

802 SRT: Speech reception threshold

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